

Form 210A (10/06)

United States Bankruptcy Court

SOUTHERN District Of NEW YORK

In re LEHMAN BROTHERS HOLDINGS, INC Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the
transfer, other than for security, of the claim referenced in this evidence and notice.

CVF Lux Securities Trading S.a.r.l.
Name of Transferee

Tang Capital Partners, LP
Name of Transferor

Name and Address where notices to transferee
should be sent: c/o CarVal Investors UK Limited

Knowle Hill Park
Fairmile Lane
Cobham
Surrey KT11 2PD
United Kingdom

Phone: _____

Last Four Digits of Acct #: _____

Court Claim # (if known): 33448

Amount of Claim: \$24,459,904.64

Date Claim Filed: September 21, 2009

Phone: _____

Last Four Digits of Acct. #: _____

Name and Address where transferee payments
should be sent (if different from above):

Phone: _____

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the
best of my knowledge and belief.

By: /s/ David Short
Transferee/Transferee's Agent

Date: March 18, 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

EXHIBIT D

In re:

Lehman Brothers Holdings, Inc.

Debtor

Case No. 08-13555 (JPM)

Chapter 11

**NOTICE OF TRANSFER OF CLAIM
PURSUANT TO RULE 3001(e)**

PLEASE TAKE NOTICE that any and all claims of Tang Capital Partners, L.P. ("Assignor") that are scheduled by the Debtor(s) and or filed as an original or amended Proof of Claim against the Debtor(s), including but not limited to the following:

Scheduled Claim Amount	Claim No
\$24,459,904.64	33448

have been transferred and assigned to CVF Lux Securities Trading Sarl. ("Assignee"). The signature of Assignor on this document is evidence of the transfer of the claims and all rights thereto.

Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to the Assignee.

ASSIGNEE: CVF Lux Securities Trading Sarl.

Address: c/o CarVal Investors UK Limited
Knowle Hill Park
Fairmile Lane
Cobham
Surrey KT11 2PD
United Kingdom
BY CARVAL INVESTORS UK LIMITED

Signature:

Name:

Title:

Date:

DAVID SHORT
OPERATIONS MANAGER

ASSIGNOR: Tang Capital Partners, LP

Address: Seward & Kissel LLP
Attn: Justin L. Shearer, Esq.
One Battery Park Plaza
New York, NY 1004

Signature:

Name:

Title:

Date:

KENIN TANG
MANAGER OF TANG CAPITAL MANAGEMENT, LLC,
MARCH 16, 2011 GENERAL PARTNER

Lehman Brothers Holdings Inc. (Chapter 11)
Change Client[Client Home](#) [Claims](#) [Docket](#) [Key Documents](#)[Home](#) » [Search Claims](#) [Bookmark this Page](#)

Search Claims

Criteria

Claim Number 33448	Creditor Name Name Starts With	Scope Claims and schedules
Schedule Number	Amount Total Claim Value	Equals
Debtor	Claim Date Range to	

Order By: Creditor Name

Results Per Page: 50

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Results

[Expand All](#)**[1] Page 1 of 1 - 01 total items**

Claim #	Schedule #	Creditor Name	Date	Total Claim Value
<input type="checkbox"/> 33448		TANG CAPITAL PARTNERS, LP	9/21/2009	\$24,459,904.64 Image
		C/O SEWARD & KISSEL LLP ATTN : JUSTIN L. SHEARER, ESQ. ONE BATTERY PARK PLAZA NEW YORK, NY 10004	Claimed Secured:	\$24,459,904.64
		Debtor: Lehman Brothers Holdings Inc.	Remarks: CLAIMED PARTIALLY UNLIQUIDATED	

[1] Page 1 of 1 - 01 total itemsCOMPANY INFORMATION AND SERVICES | FORMS | CORPORATE | HOME | CONTACT | SUBSCRIBE | SITE MAP | DISCLAIMER | TERMS OF USE | PRIVACY STATEMENT | SAFE HARBOR | RSS FEEDS
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